

ECE 531 – Advanced Operating Systems Lecture 24

Vince Weaver

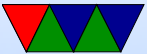
`https://web.eece.maine.edu/~vweaver`

`vincent.weaver@maine.edu`

3 November 2025

Announcements

- HW#6 due, HW#7 posted soon



Describe Project Topics

- Don't forget due soon (send via e-mail, include group members and your topic)
- There's a list at the end of the project pdf
- Note that especially in this class it can be hard to judge difficulty. Things that might sound easy can turn out not to be.
 - Ethernet/Wifi is one of these
 - The built in ones are nearly impossible to use (ethernet on older Pis are USB-based)



If you really want network connectivity, look into SPI ethernet or maybe serial based (slip or ppp)

- Note that “this was much harder than we thought and we only got it partly done” can be an OK result as long as you document along the way what you did and what parts ended up being difficult
- Last time a lot of people did PS/2 mouse which is OK but not that interesting



Operating System without Virtual Memory

- Can you have an operating system without Virtual Memory?
- Historically, yes, many did (original UNIX, MacOS, Win3.1, etc)
- Linux typically relies on MMU (virtual memory).
- uCLinux is a version of Linux for micro-controllers without VM
- Our OS in the homework is similar in design to this.



ECE531 OS and VM

- Our OS we will have VM enabled, but with a 1:1 physical/virtual mapping
- This allows memory and kernel protection, and caches
- Otherwise though it operates like an MMU-less system
- Adding real VM might make an interesting project (but an extremely difficult one)



Starting a Process on Linux/UNIX style operating systems



fork()

With VM you can run `fork()`

- `pid_t fork(void);`
- Makes complete copy of parent, only pid is different
- Return value is pid of child (in parent) or 0 (in child)
- On VM systems does this with Copy-on-Write (COW) magic



vfork()

Can't fork w/o OS, instead do a `vfork()`

- `vfork()` makes a new process (PCB) but doesn't allocate memory, the child runs with the parent's memory/stack
- The parent is put to sleep until the child finishes
- the **only** thing the child is allowed to do is either call `exec` or `_exit()` (not even plain `exit()` as that does various cleanups that could break the parent)



vfork() on ECE531 OS

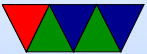
- Complicated
- Allocates new process with `process_create()`
- Copies current process block over, including stack state
(Did I mention, each process has own kernel stack, with the PCB taking up the top part of it)
- Update the pid values, parent info, also increment file-in-use counts
- point kernel stack info to that of child
- put child in process linked list



- put parent to sleep and force a reschedule (then return pid of child)
- child returns 0, and is **only** allowed to `execve()` or `_exit()`

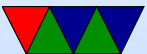


Loading an Executable



Executable Formats

- ELF is complicated for what we need and hard to parse
- HW#6 uses bare executables made with objcopy but that has limitations, the main one being you can't use BSS variables
- A good compromise is bFLT



Flat File Format

- <http://retired.beyondlogic.org/uClinux/bflt.htm>
- “bFLT” or 0x62, 0x46, 0x4C, 0x54
- ```
struct flat_hdr {
 char magic[4];
 unsigned long rev; /* version */
 unsigned long entry; /* Offset of first executable instruction
 with text segment from beginning of file */
 unsigned long data_start; /* Offset of data segment from beginning of
 file */
 unsigned long data_end; /* Offset of end of data segment
 from beginning of file */
 unsigned long bss_end; /* Offset of end of bss segment from beginning
 of file */

 /* (It is assumed that data_end through bss_end forms the bss segment.) */
};
```



```
 unsigned long stack_size; /* Size of stack, in bytes */
 unsigned long reloc_start; /* Offset of relocation records from
 beginning of file */
 unsigned long reloc_count; /* Number of relocation records */
 unsigned long flags;
 unsigned long filler[6]; /* Reserved, set to zero */
};
```



# bFLT documentation

- Spec isn't worth much  
Your best bet is various Wikis and blog postings (TI-  
nspire?)
- Actual code more useful
- `fs/binfmt_flat.c` in Linux kernel source.

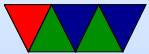


# Building bFLT

- Making the binaries hard.
- Not just a simple matter of telling gcc or linker
  - Seems like you could just write a custom “linker script”
  - Had a student try this for a project, turns out gcc linker scripts really want to make ELF binaries and it’s just not possible to generate the sections the bFLT wants
- There was an “elf2flt” project but non-standard and hard to find a working version



- I wrote my own for this class.



# exec()

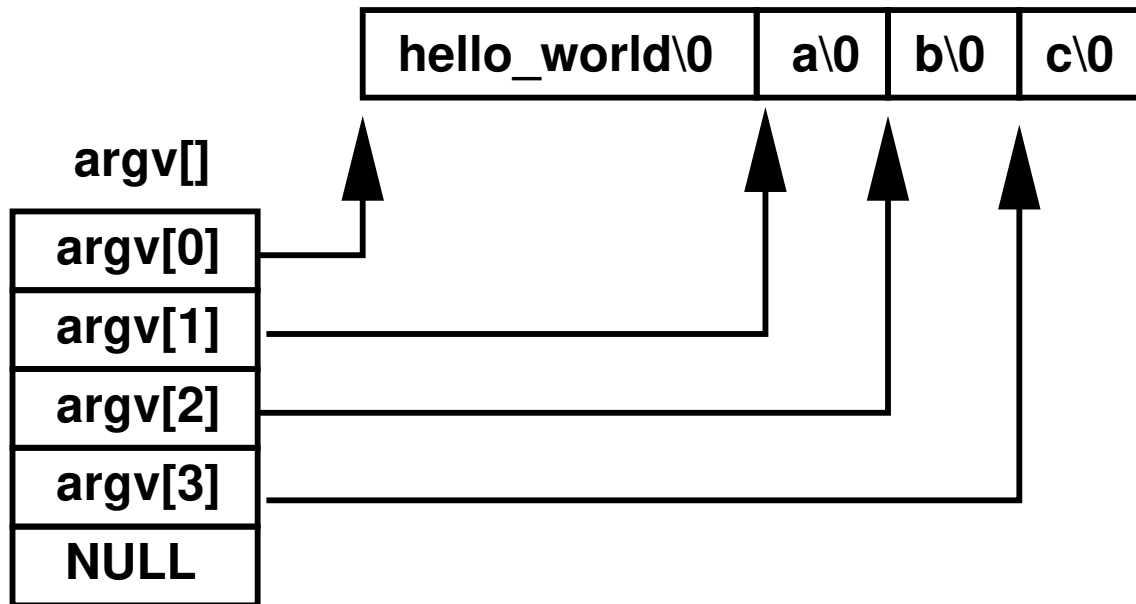
- `exec()` is the syscall that loads a binary
- We use `execve(char *pathname, char *argv[], char *envp[])`
- First argument is the full path of the executable
- The second is the command line argument structure.
  - This is an array of pointers (with the last entry NULL) that point to each command line argument.
  - It's up to the caller to set this up properly, often the shell
  - Remember `argv[0]` is the name of the program



- The third argument is similar to the command line args, but for environment variables

```
$./hello_world a b c
```

shell sets up **\*\*argv** for call to **execve()**



# Loading a flat binary (531 OS)

- `execve()`
- Remember, `exec()` overwrites current process so the process has already been allocated and put in the process list
- TODO: should check permissions, executable bit
- read header — note big-endian so need `ntohl()`
- parse header, check magic number (falls back to raw executable if not bFLT)
- parse header, get sizes



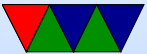
- allocate stack (fixed size)
- allocate area for text/data/bss
- read data from disk into allocated space
- relocate the addresses
- set the name
- construct the command line arguments (these were passed to `execve`, but we need to copy to final location on stack)
- set up register save state to appear as if we had a context switch  
    `saved_sp` should be allocated stack pointer just after



argv

saved\_pc should be entry point

r0 = argc, r1 = argv



# PIC/PIE – Position Independent Code

- Without VM, we can't know in advance where our code is loaded  
Something else might already be there
- Instead of loading from absolute address, uses an offset, usually in a register or PC-relative.
- gcc has an option `-fPIC` to generate



# Relocation

- List of offsets to pointers
- PIC compiles things with zero offset
- At load time the pointers are fixed up to have the load address
- Separate relocation for GOT (global offset table) which is a list of pointers at the beginning of the data segment, ending with -1



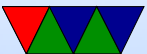
# Flat Shared Libraries

- Like mini executables, can have up to 256 of them
- Libraries loaded in place, then the callsites are fixed up to have the right address.
- Also at start time the various library init routines are called



# Related: Execute in Place

- On embedded system, might want our read-only text segment in ROM
- Why? Save space, save copying.
- Why not? ROM often slow, more complicated binaries (data not follow text)

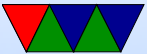


# Memory Allocation w/o Virtual Memory

- `sbrk()` doesn't work (due to fragmentation can't have an auto-growing heap)
- can use `mmap()` to allocate chunks
- overhead



# Other Things our OS Does



# Create an Idle Task

- Can fake up a process but it lives in kernel not userspace
- What does the system do if no jobs are ready to run?
- wfi vs msr (ARM1176 wfi is a nop)
- What happens if forget to setup a stack for the idle task?
  - Not an issue unless you try to use it
  - Had issue to track down and tried to printk() to find it, but no stack, boom



# Scheduler / Idle Thread

- How does the scheduler work?
- Simple, nothing fancy. There's a doubly linked-list of all processes and when a timer interrupt happens the list is walked to find the next one that's runnable.
- What if none available? Then run the idle thread.

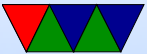


# Waitqueues

- See last lecture
- If you are sleeping (because of a vfork) or waiting on I/O (waiting for keypress) you get put to sleep and put on a linked-list waitqueue. Then when I/O comes in, you are woken up, removed from the queue, and marked as ready.
- This is tricky as in theory you are sort of sleeping in the kernel and that's how we implement it, so we need to save our kernel register state as well as the user space.



There's probably better ways to do this.



# Aside on the Shell

- TODO: diagram
- Shell in main loop reads string and parses
- If not built-in command, assume you're running an executable
- Run `vfork()` so now two copies of shell
- In the child, try `execve()` on the program name
  - If it works, we've started a new program
  - If it fails, `_exit()`
- By default this would launch a program in the



background, how do we instead wait for the child to finish? `waitpid()`

- Then return to reading from loop



# waitpid()

- `waitpid()` call will block waiting for child to finish
- When children run `exit()` they wait until parent has acknowledged their return value before completely exiting/freeing resources
- How do you acknowledge background tasks (started with ampersand in bash-type shells)? Non-blocking `waitpid(NOHANG)` needs to be run occasionally to reap finished children



# Other Process Terms

- Orphaned children, what happens when parent dies?  
In theory init inherits them
- Might you intentionally orphan a child?
  - How do you start background daemons?
  - On Linux, double-fork. Fork once, then again. The middle child exits. This makes the grand-child orphaned and gets init as a parent, and the original parent doesn't have to worry about it anymore
- Zombie processes, ones that for whatever reason you



can't kill (often they are stuck waiting for the kernel to do something that will never finish, for example reading from a disk that was removed)

